IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Satoshi Takagi, et al.

Serial No.

: 10/009,152

For

ASSET MANAGEMENT SYSTEM AND ASSET

MANAGEMENT METHOD

Filed

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May 7, 2002

Examiner

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Baig, Sahar A.

Art Unit

2424

Confirmation No

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745 Fifth Avenue New York, NY 10151 (212) 588-0800

CERTIFICATE OF ELECTRONIC FILING

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October 15, 2009

Date of Signature

RESPONSE UNDER 37 C.F.R. §1.116

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In response to the Final Office Action mailed on August 18, 2009, having a three-month period for response set to expire on November 18, 2009, Applicants submit this response by the two-month date of October 19, 2009 (October 18, 2009 being a Sunday). Please consider the following remarks.

Frommer Lawrence & Haug LLP 745 Fifth Avenue New York, NY 10151 212-588-0800 Customer Number 20999 Listing of the Claims begins on page 3 of this paper.

Remarks/Arguments begin on page 21 of this paper.

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